

Sheet 1 of 1		FEB 12 2001		ATTY DOCKET NO. 2001_1284A		SERIAL NO. 09/936,323	
<input checked="" type="checkbox"/> U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <input type="checkbox"/> LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		APPLICANT Tsutomu MINAMI et al.		FILING DATE September 12, 2001		GROUP 4746 1752	
Date Submitted to PTO: December 12, 2001							
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
AA							
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FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
AJ							
AK							
AL							
AM							
AN							
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
<i>ANW</i>	AO	Najafi, S.I., et al. "Sol-Gel Glass Waveguide and Grating on Silicon", Journal of Lightwave Technology, vol. 16, no. 9 (1998), pages 1640-1646.					
<i>ANW</i>	AP	Touam, T., et al. "Theoretical and experimental study of ridge waveguides with Bragg grating derived from hybrid sol-gel glasses", SPIE, vol. 3282, pages 17-30.					
<i>ANW</i>	AQ	Andrews, M.P., et al. "Collateral Densification Associated with the Photoresponse of Hybrid Sol-Gel Glasses for Depositing Bragg Gratings on Ridge Waveguides", SPIE, vol. 3282, pages 50-58.					
EXAMINER <i>Mark J. Wible</i>		DATE CONSIDERED <i>March 26 2003</i>					

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

5 Recd PCT/PTO 12 SEP 2001

FORM PTO 1449 (modified)			ATTY DOCKET NO. 2001_1284A	SERIAL NO. NEW			
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			APPLICANT Tsutomu MINAMI et al.				
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			FILING DATE September 12, 2001		GROUP 1752		
Date Submitted to PTO: September 12, 2001							
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ACW	AA	5,271,797	12/1993	Kamisawa			
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
ACW	AJ	965885	12/1999	Europe			
ACW	AK	11-338145	12/1999	Japan			
ACW	AL	6-148880	5/1994	Japan			
ACW	AM	938027	8/1999	Europe			
ACW	AN	7-305030	11/1995	Japan			
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AO						
	AP						
	AQ						
EXAMINER	Joseph C. Wub			DATE CONSIDERED March 26, 2003			

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